

PARTIALLY HYPERBOLIC GEODESIC FLOWS ARE ANOSOV

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ABSTRACT. We prove that if a \mathbb{Z} or \mathbb{R} -action by symplectic linear maps on a symplectic vector bundle E has a weakly dominated invariant splitting $E = S \oplus U$ with $\dim U = \dim S$, then the action is hyperbolic. In particular, contact and geodesic flows with a dominated splitting with $\dim S = \dim U$ are Anosov.

Celebrating the 60th birthday of Jacob Palis.

Les flots géodésiques partiellement hyperboliques sont Anosov.

RÉSUMÉ. Considérons une action de \mathbb{R} ou \mathbb{Z} sur un fibré vectoriel muni d'une structure symplectique par des applications linéaires préservant cette structure symplectique, et supposons que cette action possède une décomposition invariante faiblement dominée $E = S \oplus U$ avec $\dim U = \dim S$. On montre alors que cette action est nécessairement hyperbolique.

En l'honneur du 60^{ème} anniversaire de J. Palis.

Version française abrégée.

Un fibré vectoriel symplectique $\pi : \mathbf{E} \rightarrow B$ est un fibré vectoriel dont les changements de cartes $(U \cap V) \times \mathbb{R}^{2N} \hookrightarrow \mathbb{R}^{2N}$ préservent la structure symplectique standard de \mathbb{R}^{2N} . Un tel fibré est donc équipé d'une forme symplectique continue dont la valeur sur chaque fibre est induite par la forme symplectique standard de \mathbb{R}^{2N} .

Soit $Sp(\mathbf{E})$ l'espace des isomorphismes du fibré vectoriel symplectique \mathbf{E} . Considérons une \mathbb{R} -action $\Psi : \mathbb{R} \rightarrow Sp(\mathbf{E})$ et pour tout $t \in \mathbb{R}$, notons Ψ_t l'isomorphisme de fibré associé. Cette action induit un flot continu $\psi_t : B \leftarrow B$ qui vérifie $\psi_t \circ \pi = \pi \circ \Psi_t$.

On dit que l'action Ψ est *faiblement partiellement hyperbolique*¹ s'il existe une décomposition invariante du fibré $\mathbf{E} = S \oplus U$, non nécessairement continue, telle que pour tout $b \in B$,

- (1) $\{0\} \neq S(b) \neq \mathbf{E}(b)$.
- (2) $\inf_{t \geq 0} \|\Psi_t|_{S(b)}\| \cdot \|\Psi_{-t}|_{U(\psi_t b)}\| = 0$.
- (3) $\inf_{t \geq 0} \|\Psi_t|_{S(\psi_{-t} b)}\| \cdot \|\Psi_{-t}|_{U(b)}\| = 0$.

Une action Ψ est dite *hyperbolique* s'il existe une décomposition invariante du fibré $\mathbf{E} = E^s \oplus E^u$ et des constantes $C > 0$, $\lambda > 0$, telles que:

- (i) $|\Psi_t(\xi)| \leq C e^{-\lambda t} |\xi|$ pour tout $t > 0$, $\xi \in E^s$;
- (ii) $|\Psi_{-t}(\xi)| \leq C e^{-\lambda t} |\xi|$ pour tout $t > 0$, $\xi \in E^u$.

Dans ce cas il est facile de montrer que la décomposition est continue et que les sous-fibrés E^s et E^u sont lagrangiens.

Enfin rappelons que l'ensemble non errant $\Omega(\psi)$ de ψ est l'ensemble des points $b \in B$ tels que pour tout voisinage U de b il existe $T > 0$ vérifiant $\psi_T(U) \cap U \neq \emptyset$.

Dans cette note, nous montrons le résultat suivant:

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¹La définition classique de l'hyperbolicité partielle demande la condition plus forte qu'il existe $t > 0$ et $0 < \lambda < 1$ tels que: $\|\Psi_t|_{S(b)}\| \cdot \|\Psi_{-t}|_{U(\psi_t b)}\| < \lambda$ pour tout $b \in B$. Cette condition supplémentaire implique la continuité de la décomposition.

Théorème A. Soit $\pi : \mathbf{E} \rightarrow B$ un fibré vectoriel symplectique de base B compacte et $\Psi : \mathbb{R} \rightarrow Sp(\mathbf{E})$ une \mathbb{R} -action continue. Si Ψ est faiblement partiellement hyperbolique, $\dim S = \dim U$ et $\Psi|_{\pi^{-1}(\Omega)}$ est la restriction à l'ensemble non-errant $\Omega = \Omega(\psi|_B)$ du flot induit ψ , alors $\Psi|_{\pi^{-1}(\Omega)}$ est hyperbolique.

En fait, la restriction à l'ensemble non-errant n'est pas nécessaire si on sait a priori que la décomposition est continue:

Théorème B. Soit Ψ une \mathbb{R} -action symplectique et continue sur une base compacte B . S'il existe deux sous-fibrés continus S et U tels que $\dim S = \dim U$ et tels que $S \oplus U$ est une décomposition invariante faiblement partiellement hyperbolique, alors Ψ est hyperbolique sur toute la base B .

Il se trouve que lorsque la décomposition $S \oplus U$ est continue, elle coïncide avec la décomposition hyperbolique: $S = E^s$ et $U = E^u$. En particulier les fibrés S et U sont lagrangiens.

Des preuves analogues permettent d'énoncer les deux théorèmes ci-dessus dans le cadre des \mathbb{Z} -actions: $\mathbb{Z} \rightarrow Sp(\mathbf{E})$, et en particulier pour la différentielle de symplectomorphismes.

On peut appliquer le théorème A lorsque $\Psi_t = d\phi_t$, où ϕ_t est un flot de contact sur une variété compacte \mathcal{N} préservant une 1-forme non dégénérée Θ et $\mathbf{E}(x) = \ker \Theta_x$. Puisque ϕ_t préserve la forme volume $\Theta \wedge (d\Theta)^n$, $\dim \mathcal{N} = 2n+1$, nous savons par le théorème de récurrence de Poincaré que $\Omega(\phi) = \mathcal{N}$. Nous avons alors:

Corollaire. Un flot de contact faiblement partiellement hyperbolique vérifiant $\dim S = \dim U$ est Anosov.

Un exemple de flot de contact est donné par le flot géodésique sur une variété Riemannienne compacte (M, g) avec la 1-forme $\Theta_v(\xi) = \langle v, d\pi(\xi) \rangle_g$, $\xi \in T_v SM$, $SM = \{v \in TM \mid \|v\|_g = 1\}$; et la projection $\pi : SM \rightarrow M$. Ceci répond à une question de M. Herman.

Les deux théorèmes ci-dessus peuvent s'appliquer au cas d'un niveau d'énergie régulier d'un champ Hamiltonien qui ne possède pas de fibré transverse continu. Voir version en anglais.

1. STATEMENTS

A symplectic vector bundle $\pi : \mathbf{E} \rightarrow B$ is a vector bundle whose transition maps $(U \cap V) \times \mathbb{R}^{2N} \leftarrow$ preserve the canonical symplectic structure of \mathbb{R}^{2N} on the fibers. Such bundle carries a continuous symplectic form on each fiber induced by the symplectic form on \mathbb{R}^{2N} .

Consider a continuous \mathbb{R} -action $\Psi : \mathbb{R} \rightarrow Sp(\mathbf{E})$, where $\Psi_t : \mathbf{E} \rightarrow \mathbf{E}$ is a bundle map which is a symplectic linear isomorphism on each fiber and $\Psi_{s+t} = \Psi_s \circ \Psi_t$. The action Ψ induces a continuous flow $\psi_t : B \leftarrow$ such that $\psi_t \circ \pi = \pi \circ \Psi_t$.

We say that the action Ψ is *weakly partially hyperbolic*² if there exists an invariant splitting $\mathbf{E} = S \oplus U$ (not necessarily continuous) such that for each $b \in B$,

- (1) $\{0\} \neq S(b) \neq \mathbf{E}(b)$.
- (2) $\inf_{t \geq 0} \|\Psi_t|_{S(b)}\| \cdot \|\Psi_{-t}|_{U(\psi_t b)}\| = 0$.
- (3) $\inf_{t \geq 0} \|\Psi_t|_{S(\psi_{-t} b)}\| \cdot \|\Psi_{-t}|_{U(b)}\| = 0$.

We say that Ψ is *hyperbolic* if there exists a invariant splitting $\mathbf{E} = E^s \oplus E^u$ and constants $C > 0$, $\lambda > 0$, such that

- (i) $|\Psi_t(\xi)| \leq C e^{-\lambda t} |\xi|$ for all $t > 0$, $\xi \in E^s$;
- (ii) $|\Psi_{-t}(\xi)| \leq C e^{-\lambda t} |\xi|$ for all $t > 0$, $\xi \in E^u$.

It follows that the hyperbolic splitting $E^s \oplus E^u$ is necessarily continuous and that the subspaces E^s and E^u are lagrangian.

Define the *non-wandering* set $\Omega(\psi)$ of ψ as the set of points $b \in B$ such that for every neighbourhood U of b there exists $T > 0$ such that $\psi_T(U) \cap U \neq \emptyset$.

Here we prove:

²The usual partial hyperbolicity requires the stronger condition that there exist $t > 0$ and $0 < \lambda < 1$ such that $\|\Psi_t|_{S(b)}\| \cdot \|\Psi_{-t}|_{U(\psi_t b)}\| < \lambda$, for all $b \in B$; which implies the continuity of the splitting $S \oplus U$.

Theorem A. *Let $\pi : \mathbf{E} \rightarrow B$ be a continuous symplectic vector bundle with B compact and $\Psi : \mathbb{R} \rightarrow Sp(\mathbf{E})$ a continuous \mathbb{R} -action with induced flow $\psi_t : \pi \circ \Psi_t = \psi_t \circ \pi$.*

If Ψ is weakly partially hyperbolic with $\dim S = \dim U$ and $\Omega = \Omega(\psi|_B)$ is the non-wandering set then the restricted action $\Psi|_{\pi^{-1}(\Omega)}$ is hyperbolic.

The restriction to the non-wandering set is not needed if we know a priori that the splitting is continuous:

Theorem B. *Let Ψ is a continuous symplectic \mathbb{R} -action on a compact base B . Suppose that $S \oplus U$ is a weakly partially hyperbolic splitting with $\dim S = \dim U$. If furthermore, S and U are continuous subbundles, then Ψ is hyperbolic over all B .*

It turns out that when the splitting $S \oplus U$ is continuous, necessarily $S = E^s$ and $U = E^u$ in the hyperbolic splitting. In particular they are lagrangian subbundles.

The theorems above, with the same proofs, hold for \mathbb{Z} -actions: $\mathbb{Z} \rightarrow Sp(\mathbf{E})$. In particular, for the derivatives of symplectic diffeomorphisms.

We say that $\Psi : \mathbb{R} \rightarrow Sp(\mathbf{E})$ is *partially hyperbolic* if there is an invariant splitting $\mathbf{E} = S \oplus U$ and $\tau > 0, 0 < \lambda < 1$ such that

$$\|\Psi_t|_{S(b)}\| \cdot \|\Psi_{-t}|_{U(\psi_t b)}\| < \lambda, \quad \text{for all } b \in B.$$

A partially hyperbolic action is also weakly partially hyperbolic and its splitting $S \oplus U$ is necessarily continuous. Thus we get

Corollary 1.

A partially hyperbolic symplectic action with $\dim S = \dim U$ on a compact base B is hyperbolic.

We can apply theorem A to the case when $\Psi_t = d\phi_t$, where ϕ_t is a contact flow on a compact manifold \mathcal{N} preserving a non-degenerate 1-form Θ and $\mathbf{E}(x) = \ker \Theta_x, x \in \mathcal{N}$. Since ϕ_t preserves the volume form $\Theta \wedge (d\Theta)^n, \dim \mathcal{N} = 2n + 1$, by Poincaré recurrence, $\Omega(\phi) = \mathcal{N}$. Hence we obtain

Corollary 2. *A weakly partially hyperbolic contact flow with $\dim S = \dim U$ is Anosov.*

An example of contact flow is the geodesic flow of a compact riemannian manifold (M, g) , with the 1-form $\Theta_v(\xi) = \langle v, d\pi(\xi) \rangle_g, \xi \in T_v SM$; where $SM = \{v \in TM \mid \|v\|_g = 1\}$ and $\pi : SM \rightarrow M$ is the projection. This answers a question posed by M. Herman: is a partially hyperbolic geodesic flow Anosov?³

The theorems above can be applied to a regular energy level of a non-contact hamiltonian flow which has no obvious continuous invariant transversal bundle. The problem here is that the tangent space to the energy level is odd-dimensional and the bundle $\mathbf{E} = S \oplus U$ can not contain the direction of the hamiltonian vectorfield. One avoids this problem by projecting the derivative of the hamiltonian flow along a continuous transversal bundle as follows. Let (M, ω) be a symplectic manifold, $H : M \rightarrow \mathbb{R}$ and e a regular value of H . Suppose that $\mathcal{N} = H^{-1}(\{e\})$ is compact. Let X be the hamiltonian vector field for H on \mathcal{N} , $\omega(X, \cdot) = dH$, and let ϕ be its flow. Let \mathbf{E} be a continuous (non-invariant) subbundle of $T\mathcal{N}$ which is transversal to X (e.g. endow M with a riemannian metric and let $\mathbf{E} = \{v \in T\mathcal{N} \mid \omega(\nabla H, v) = 0\}$). Then $(\mathbf{E}, \omega|_{\mathbf{E}})$ is a symplectic bundle. Let $\Lambda : T\mathcal{N} = \mathbf{E} \oplus \langle X \rangle \rightarrow \mathbf{E}$ be the projection in the splitting. Then we ask that the symplectic action $\Psi = \Lambda \circ d\phi$ on \mathbf{E} is weakly partially hyperbolic. Apply theorem A to show that the action Ψ is hyperbolic. Since the growth of $d\phi_t$ in the direction of the hamiltonian vectorfield is subexponential, then standard methods using a graph transformation (cf. Hirsch-Pugh-Shub [4], or [1, p. 930]) show that if Ψ is hyperbolic then ϕ is Anosov.

³If $\dim S \neq \dim U$, a product of two manifolds of negative curvature would be a counterexample.

2. PROOFS.

We say that Ψ is *quasi-hyperbolic* if $\sup_{t \in \mathbb{R}} |\Psi_t(\xi)| = +\infty$ for all $\xi \in \mathbf{E}$, $\xi \neq 0$. We shall use:

2.1. Proposition. *Let $\pi : \mathbf{E} \rightarrow B$ is a continuous vector bundle, and $\Psi : \mathbb{R} \rightarrow GL(\mathbf{E})$ a continuous \mathbb{R} -action of linear isomorphisms with induced flow $\psi_t : \pi \circ \Psi_t = \psi_t \circ \pi$.*

If B is compact and Ψ is quasi-hyperbolic then the restriction $\Psi|_{\pi^{-1}(\Omega)}$ to the lift of the nonwandering set $\Omega = \Omega(\psi|_B)$ is hyperbolic.

The proof is similar to that of [1, §3 theorem 0.2, p. 926–929] and its origins can be traced back to Eberlein [2], Sacker and Sell [8], [9] and Selgrade [10].

Define

$$\begin{aligned} \mathfrak{S}(b) &:= \{ \mathfrak{s} \in S(b) \mid \forall \mathfrak{u} \in U(b), \Omega_b(\mathfrak{s}, \mathfrak{u}) = 0 \}, \\ \mathfrak{U}(b) &:= \{ \mathfrak{u} \in U(b) \mid \forall \mathfrak{s} \in S(b), \Omega_b(\mathfrak{u}, \mathfrak{s}) = 0 \}; \end{aligned}$$

Proof of Theorem A. Fix $b \in B$ and fix sequences $\tau_n \rightarrow +\infty$ and $\sigma_n \rightarrow +\infty$ such that

$$(4) \quad \lim_n \|\Psi_{\tau_n}|_{S(b)}\| \cdot \|\Psi_{-\tau_n}|_{U(\psi_{\tau_n} b)}\| = 0,$$

$$(5) \quad \lim_n \|\Psi_{\sigma_n}|_{S(\psi_{-\sigma_n} b)}\| \cdot \|\Psi_{-\sigma_n}|_{U(b)}\| = 0.$$

Write $\mathfrak{B}(b) := \{v \in \mathbf{E}(b) \mid \sup_{t \in \mathbb{R}} |\Psi_t v| < +\infty\}$. By proposition 2.1, we have to prove that $\mathfrak{B}(b) = \{0\}$.

2.2. Lemma.

(a) *If $\liminf_n \|\Psi_{\sigma_n}|_{S(\psi_{-\sigma_n} b)}\| = 0$ then*

$$(6) \quad \forall \mathfrak{s} \in S(b) \setminus \{0\}, \quad \limsup_n |\Psi_{-\sigma_n}(\mathfrak{s})| = +\infty.$$

(b) *If $\liminf_n \|\Psi_{-\tau_n}|_{U(\psi_{\tau_n} b)}\| = 0$ then*

$$(7) \quad \forall \mathfrak{u} \in U(b) \setminus \{0\}, \quad \limsup_n |\Psi_{\tau_n}(\mathfrak{u})| = +\infty.$$

(c) *If both conditions (6) and (7) hold, then $\mathfrak{B}(b) = \{0\}$.*

Proof: (a). If $\mathfrak{s} \in S(b) \setminus \{0\}$, then

$$\liminf_n \frac{|\mathfrak{s}|}{|\Psi_{-\sigma_n}(\mathfrak{s})|} = \liminf_n \frac{|\Psi_{\sigma_n}(\Psi_{-\sigma_n} \mathfrak{s})|}{|\Psi_{-\sigma_n} \mathfrak{s}|} \leq \liminf_n \|\Psi_{\sigma_n}|_{S(\psi_{-\sigma_n} b)}\| = 0.$$

(b). If $\mathfrak{u} \in U(b) \setminus \{0\}$, then

$$\liminf_n \frac{|\mathfrak{u}|}{|\Psi_{\tau_n}(\mathfrak{u})|} = \liminf_n \frac{|\Psi_{-\tau_n}(\Psi_{\tau_n} \mathfrak{u})|}{|\Psi_{\tau_n} \mathfrak{u}|} \leq \liminf_n \|\Psi_{-\tau_n}|_{U(\psi_{\tau_n} b)}\| = 0.$$

(c). Conditions (6) and (7) imply that $\mathfrak{B}(b) \cap S(b) = \{0\}$ and $\mathfrak{B}(b) \cap U(b) = \{0\}$.

Let $v = \mathfrak{s} \oplus \mathfrak{u} \in S \oplus U = \mathbf{E}$ be such that $\mathfrak{s} \neq 0$ and $\mathfrak{u} \neq 0$. From (4) we have that

$$\frac{|\Psi_{\tau_n} \mathfrak{s}|}{|\mathfrak{s}|} \cdot \frac{|\mathfrak{u}|}{|\Psi_{\tau_n} \mathfrak{u}|} = \frac{|\Psi_{\tau_n} \mathfrak{s}|}{|\mathfrak{s}|} \cdot \frac{|\Psi_{-\tau_n}(\Psi_{\tau_n} \mathfrak{u})|}{|\Psi_{\tau_n} \mathfrak{u}|} \xrightarrow{n} 0.$$

Therefore

$$\lim_n |\Psi_{\tau_n}(\mathfrak{s})|/|\Psi_{\tau_n}(\mathfrak{u})| = 0.$$

Using (7), we have that

$$(8) \quad \limsup_n |\Psi_{\tau_n}(v)| \geq \limsup_n (|\Psi_{\tau_n}(\mathfrak{u})| - |\Psi_{\tau_n}(\mathfrak{s})|) = +\infty.$$

Then $v \notin \mathfrak{B}(b)$. □

Suppose first that $\mathfrak{S}(b) = \{0\}$ and $\mathfrak{U}(b) = \{0\}$. Let $\mathbf{u} \in U(b)$, $\mathbf{u} \neq 0$. Since $\mathfrak{U}(b) = \{0\}$, there exists $\mathfrak{s} \in S(b)$ such that $\Omega(\mathfrak{s}, \mathbf{u}) = 1$. Since $|\Psi_{\tau_n} \mathfrak{s}| \cdot |\Psi_{\tau_n} \mathbf{u}| \geq \Omega(\Psi_{\tau_n} \mathfrak{s}, \Psi_{\tau_n} \mathbf{u}) = \Omega(\mathfrak{s}, \mathbf{u}) = 1$, then

$$(9) \quad \liminf_n |\Psi_{\tau_n} \mathfrak{s}| = 0 \implies \limsup_n |\Psi_{\tau_n} \mathbf{u}| = +\infty.$$

From (4),

$$(10) \quad \frac{|\Psi_{\tau_n} \mathfrak{s}|}{|\mathfrak{s}|} \cdot \frac{|\mathbf{u}|}{|\Psi_{\tau_n} \mathbf{u}|} = \frac{|\Psi_{\tau_n} \mathfrak{s}|}{|\mathfrak{s}|} \cdot \frac{|\Psi_{-\tau_n}(\Psi_{\tau_n} \mathbf{u})|}{|\Psi_{\tau_n} \mathbf{u}|} \xrightarrow{n} 0.$$

So that

$$(11) \quad \liminf_n |\Psi_{\tau_n} \mathfrak{s}| > 0 \implies \limsup_n |\Psi_{\tau_n} \mathbf{u}| = +\infty.$$

From (9) and (11), we obtain that

$$(12) \quad \limsup_n |\Psi_{\tau_n} \mathbf{u}| = +\infty, \quad \forall \mathbf{u} \in U(b) \setminus \{0\}.$$

Similar arguments to (9) and (11) using (5), show that

$$(13) \quad \limsup_n |\Psi_{-\sigma_n} \mathfrak{s}| = +\infty, \quad \forall \mathfrak{s} \in S(b) \setminus \{0\}.$$

Then (12), (13) and lemma 2.2(c) imply that $\mathfrak{B}(b) = \{0\}$.

The hypothesis on S and U are symmetric, so, using the inverse action Ψ_{-t} if necessary, it is enough to assume from now on that $\mathfrak{S}(b) \neq \{0\}$.

Let $v \in \mathfrak{S}(b) \setminus \{0\}$. There exists $w = \mathfrak{s} + \mathbf{u} \in S \oplus U = \mathbf{E}$ such that $\Omega(v, w) = 1$. Since by definition of $\mathfrak{S}(b)$, we have that $\Omega(v, \mathbf{u}) = 0$, then

$$1 = \Omega(v, \mathfrak{s}) = \Omega(\Psi_{\tau_n} v, \Psi_{\tau_n} \mathfrak{s}) \leq \|\Psi_{\tau_n}|_{S(b)}\|^2 |v| |\mathfrak{s}|.$$

Therefore $\liminf_n \|\Psi_{\tau_n}|_{S(b)}\| > 0$. From (4) we have that

$$(14) \quad \liminf_n \|\Psi_{-\tau_n}|_{U(\psi_{\tau_n} b)}\| = 0.$$

Suppose that

$$\liminf_n \|\Psi_{-\sigma_n}|_{U(b)}\| = 0.$$

If $\mathbf{u}_1, \mathbf{u}_2 \in U(b)$, then

$$|\Omega(\mathbf{u}_1, \mathbf{u}_2)| = \lim_n |\Omega(\Psi_{-\sigma_n} \mathbf{u}_1, \Psi_{-\sigma_n} \mathbf{u}_2)| \leq \liminf_n \|\Psi_{-\sigma_n}|_{U(b)}\|^2 = 0.$$

Therefore the subspace $U(b)$ is isotropic. If $0 \neq \mathfrak{s} \in \mathfrak{S}(b)$ then, by the definition of $\mathfrak{S}(b)$, the subspace $U(b) \oplus \langle \mathfrak{s} \rangle$ would be isotropic. This contradicts the hypothesis $\dim U = \dim S = \frac{1}{2} \dim \mathbf{E}$. Hence

$$(15) \quad \liminf_n \|\Psi_{-\sigma_n}|_{U(b)}\| > 0.$$

Now (15) and (5) imply that

$$(16) \quad \liminf_n \|\Psi_{\sigma_n}|_{S(\psi_{-\sigma_n} b)}\| = 0.$$

But (14), (16) and lemma 2.2 imply that $\mathfrak{B}(b) = \{0\}$. □

Proof of theorem B. Define

$$E^s := \{ \mathbf{v} \in \mathbf{E} \mid \sup_{t \geq 0} |\Psi_t \mathbf{v}| < +\infty \}, \quad E^u := \{ \mathbf{v} \in \mathbf{E} \mid \sup_{t \geq 0} |\Psi_{-t} \mathbf{v}| < +\infty \}.$$

2.3. Lemma. *If S and U are continuous, then $E^s = S$ and $E^u = U$.*

Proof: The limits (12), [(14), 2.2(b)], (8) and (13), [(16), 2.2(a)], (8) imply that $E^s \subseteq S$ and $E^u \subseteq U$.

We only prove that $E^s = S$. Let $v \in S$ and suppose that $v \notin E^s$. Then there exists $b_n \rightarrow +\infty$ such that $\lim_n |\Psi_{b_n} v| = +\infty$. Define $a_n \in [0, b_n]$ by

$$|\Psi_{a_n} v| = \max_{0 \leq t \leq b_n} |\Psi_t v|.$$

Then $|\Psi_{a_n} v| \geq |\Psi_{b_n} v| \xrightarrow{n} +\infty$, so that $\lim_n a_n = +\infty$.

Let $u_n := \frac{\Psi_{a_n} v}{|\Psi_{a_n} v|}$, $x_n := \pi u_n$. For $-a_n \leq t \leq 0$, we have that

$$|\Psi_t u_n| \leq \frac{|\Psi_{t+a_n} v|}{|\Psi_{a_n} v|} \leq 1.$$

Since B is compact and $|u_n| \equiv 1$, taking a subsequence we can assume that $x_n \rightarrow x \in B$ and $u_n \rightarrow u \in \mathbf{E}(x)$. Then $|\Psi_t(u)| \leq 1$ for all $t \leq 0$. Using the continuity of $x \mapsto S(x)$, we have that

$$u \in E^u(x) \cap \lim_n S(x_n) = E^u(x) \cap S(x) \subseteq U(x) \cap S(x) = \{0\}.$$

Since $|u| = 1$, this is a contradiction. □

The following lemma completes the proof of theorem B. A proof of the lemma can be found in [1, lemma 3.2, p. 927].

2.4. Lemma. *Let Ψ be a quasi-hyperbolic action with B compact. Then there exists $\tau > 0$ such that*

$$\|\Psi_\tau|_{E^s(b)}\| < \frac{1}{2} \quad \text{and} \quad \|\Psi_{-\tau}|_{E^u(b)}\| < \frac{1}{2} \quad \text{for all } b \in B.$$

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