

References [See the references for the
1st lecture, and add the following ones]
2nd lecture

[Gigli & Philippis (6)] "From volume cone to metric cone in the
nonsmooth setting" (2016) by G. De Philippis & N. Gigli

[Ketterer (4)] "Covers over metric measure spaces and the
maximal diameter theorem" (2014) by C. Ketterer

THE ALMOST SPLITTING THEOREM

Thm [Cheeger-Colding '96]

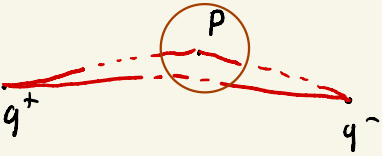
$\forall \epsilon \exists \delta$ s.t. the following holds

If (M, g) satisfies $\text{Ric} \geq -(n-1)\delta$ and

p, q^+, q^- are three points such that

(a) $\min \{d(p, q^+), d(p, q^-)\} \geq \delta^{-1}$

(b) $E(p) := d(p, q^+) + d(p, q^-) - d(q^+, q^-) \leq \delta$



Then

$$d_{\text{GH}} \left((B_1(p), p), (B_1(x, 0'), (x, 0')) \right) \leq \epsilon$$

where $(x, 0) \in X \times \mathbb{R}$, X being a metric boundedly compact metric space.

Let's prove it!

What we already proved...

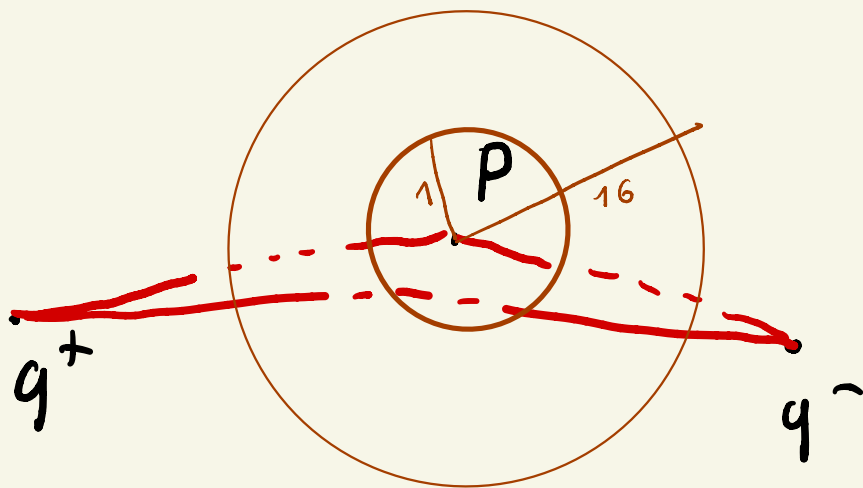
FROM SPLITTING MAP TO SPLITTING SPACES

Thm $\forall \varepsilon \exists \delta$ s.t. the following holds.
If (M, g) satisfies $\text{Ric} \geq -(n-1)\delta$
and $u: B_{1/6}(p) \rightarrow \mathbb{R}^k$ is a (k, δ) -splitting map,
hence $\exists \mathbb{Z}$ complete length metric space s.t.

$$d_{p\text{-GH}} \left((B_1(p), p), (B_1(\mathbb{Z}, 0^k), (\mathbb{Z}, 0^k)) \right) < \varepsilon$$

P ROOF OF THE ALMOST SPLITTING THEOREM

Proof. Idea: We will build a $(1, \Psi(\delta \ln))$ splitting map on $B_1(p)$.



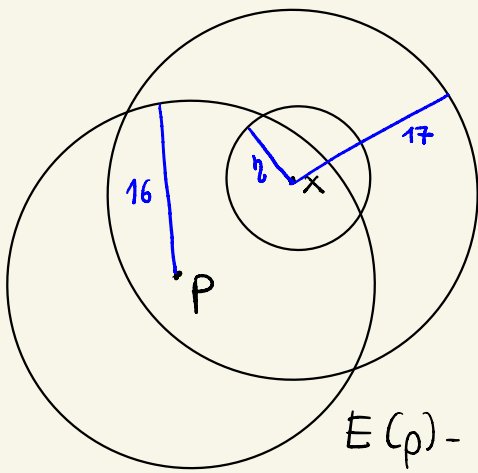
Let us work on the larger ball $B_{16}(p)$.

Let $E(\cdot) := d(\cdot, q^+) + d(\cdot, q^-) - d(q^+, q^-)$.

Step 1 We aim at showing $E \leq \Psi(\delta \ln)$ on $B_{16}(p)$

From Laplacian comparison & the simple inequality $\sqrt{\delta} r \coth(\sqrt{\delta} r) \leq 1 + \sqrt{\delta} r$, we obtain

$$\Delta E \leq \underbrace{2(n-1) \left(\frac{1}{\delta^{-1} - 16} + \sqrt{\delta} \right)}_{C_{n,\delta}} \quad \text{on } B_{16}(p)$$



Take any $x \in B_{16}(p)$.

Take

$$G := C_{n, \delta} \varphi_{-\delta}(d(x, \cdot), 17)$$

Hence

$$E(p) - G(p) \stackrel{(a1)}{\leq} \delta - C_{n, \delta} \varphi_{-\delta}(16, 17) \stackrel{\text{for } \delta \text{ small enough}}{\leq} 0$$

(a).

G non-increasing in the distance

$$\leq E(x) - G(x) \quad \forall x \in \partial B_{17}(x)$$

$E \geq 0$ by hypothesis
 $G(x) = 0 \quad \forall x \in \partial B_{17}(x)$

Moreover, we have $\Delta(E - G) \leq 0$ on $B_{17}(x)$.

We have two cases

(1) $\eta \geq d(p, x)$. Hence $E(x) \stackrel{E \text{ is } 2\text{-up}}{\leq} E(p) + 2d(p, x) \leq \delta + \eta$

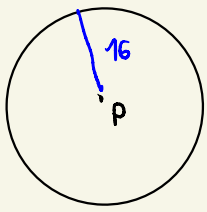
(2) $\eta \leq d(p, x)$. Hence $p \in B_{17}(x) \setminus B_{\eta}(x)$. By max. principle and (a) the minimum of $E - G$ is reached on $\bar{x} \in \partial B_{\eta}(x)$

$$\begin{aligned} \text{Hence } E(x) &\stackrel{E \text{ is } 2\text{-up}}{\leq} E(\bar{x}) + 2\eta = E(\bar{x}) - G(\bar{x}) + 2\eta + G(\bar{x}) \leq \\ &\stackrel{\bar{x} \text{ minimum}}{\leq} E(p) - G(p) + 2\eta + G(\bar{x}) \stackrel{(a1)}{\leq} 2\eta + C_{n, \delta} \varphi_{-\delta}(\eta, 17) \end{aligned}$$

Take η s.t. $\eta = C_{n, \delta} \varphi_{-\delta}(\eta, 17)$, and notice that $\eta = \psi(\delta \ln)$.

Hence joining $(\beta_1), (\beta_2)$ we have $E \leq \psi(\delta \ln)$ on $B_{16}(p)$

Step 2 Let $b^\pm(x) := d(x, q^\pm) - d(p, q^\pm)$ and let



(I) $\beta^\pm = b^\pm$ on $\partial B_{16}(p)$
 $\Delta \beta^\pm = 0$ on $B_{16}(p)$

Hence $|b^\pm - \beta^\pm| \leq \psi(\delta \ln)$.

Indeed, by Laplace comparison

$\Delta(b^\pm - \beta^\pm) \leq (n-1) \left(\frac{1}{\delta^2 - 16} + \sqrt{\delta} \right) =: k_{n,\delta}$ on $B_{16}(p)$. (A)

Let $G^\pm = k_{n,\delta} \psi_\delta(d(\cdot, q^\pm), d(p, q^\pm) + 16)$

Hence $\Delta(b^\pm - \beta^\pm - G^\pm) \leq 0$ on $B_{16}(p)$

and then by max principle

$b^\pm - \beta^\pm - G^\pm \geq -k_{n,\delta} \psi_\delta(d(p, q^\pm) - 16, d(p, q^\pm) + 16)$

(f) \rightarrow

$= -\psi(\delta \ln)$
 $\psi_\delta(d(p, q^\pm) - 16, d(p, q^\pm) + 16)$
 is uniformly bounded as $\delta \rightarrow 0$

(d) \rightarrow (b)

Now $-f \leq -E(p) \leq b^+ + b^- = E - E(p) \leq E \leq \psi$ on $B_{16}(p)$ Step 1

Moreover, from the M.P., $\beta^+ + \beta^- \geq \min_{\partial B_{16}(p)} (\beta^+ + \beta^-) = \min_{\partial B_{16}(p)} (b^+ + b^-) \geq -\delta$ (i)

Hence $b^\pm \leq \psi - b^\mp \leq \psi - \beta^\mp \leq \psi + \beta^\mp$ (3)

Then (f) + (3) gives the conclusion

Step 3 We have $\sup_{B_8(\rho)} |\nabla \beta^+| \leq C$ (II)

Indeed notice that

$$\inf_{B_6(\rho)} \beta^+ = \inf_{B_{16}(\rho)} (\beta^+ - b^+ + b^+) \stackrel{\text{Step 2}}{\geq} -\Psi - 16$$

and similarly $\sup_{B_{16}(\rho)} \beta^+ \leq \Psi + 16$.

Hence let $\bar{\beta}^+ := \beta^+ + \inf_{B_{16}(\rho)} \beta^+$. From above we get $\bar{\beta}^+ \leq 2(\Psi + 16)$ on $B_{16}(\rho)$.

By Cheng-Yau estimate on $\bar{\beta}^+$ ($\Delta \bar{\beta}^+ = 0$ on $B_{16}(\rho)$, $\bar{\beta}^+ \geq 0$ on $B_{16}(\rho)$) we have $\sup_{B_8(\rho)} |\nabla \beta^+| = \sup_{B_8(\rho)} |\nabla \bar{\beta}^+| \leq C_{n,\delta} \cdot 2(\Psi + 16) =: C$

Step 4 We have $\int_{B_4(\rho)} | |\nabla \beta^+|^2 - 1 | \leq \Psi$ (III)

Step 4a Let us first prove $\int_{B_4(\rho)} |\nabla \beta^+ - \nabla b^+|^2 \leq \Psi$ (IV)

From Laplacian comparison, see (A), we have

$$\Delta(\beta^+ - b^+) \geq -\Psi \quad \text{on } B_{16}(\rho) \quad (A')$$

Take $\varphi \in \text{Lip}_c(M)$ such that $\varphi \equiv 1$ on $B_4(\rho)$, $\varphi \equiv 0$ on $M \setminus B_8(\rho)$, $|\nabla \varphi| \leq 20$ on M , $0 \leq \varphi \leq 1$ on M .

Test (A') against $\varphi(\beta^+ - b^+ + \varphi) \geq 0$ from (3).

Hence

$$-\int_{B_R(p)} \psi |\nabla \beta^+ - \nabla b^+|^2 - \int_{B_R(p)} \langle \nabla \psi, \nabla \beta^+ - \nabla b^+ \rangle (\beta^+ - b^+ + \psi) \geq -\psi \text{Vol}(B_R(p))$$

From which

$$\int_{B_R(p)} \psi |\nabla \beta^+ - \nabla b^+|^2 \leq \psi \text{Vol}(B_R(p)) + \int_{B_R(p)} |\nabla \psi| |\nabla \beta^+ - \nabla b^+| |\beta^+ - b^+ + \psi| \quad (\otimes)$$

From (j) + (k) we have

$$|\beta^+ - b^+ + \psi| \leq 2\psi$$

From step 3 we have

$$\sup_{B_R(p)} |\nabla \beta^+| \leq C$$

Hence from (k) we conclude

$$\int_{B_{2R}(p)} |\nabla \beta^+ - \nabla b^+|^2 \leq \psi \text{Vol}(B_{2R}(p)) + 20C\psi = \psi$$

and thus we have the claim (v)

$$\begin{aligned} ||\nabla \beta^+|^2 - || & \stackrel{\text{almost everywhere}}{=} | |\nabla \beta^+| - |\nabla b^+| | | |\nabla \beta^+| + |\nabla b^+| | \\ & \leq | |\nabla \beta^+ - \nabla b^+| | | |\nabla \beta^+| + | | \end{aligned}$$

Hence, since $|\nabla \beta^+|$ is uniformly bounded above by a constant in $B_R(p)$, see \circ , we conclude that

$$\begin{aligned} \int_{B_4(p)} |D\beta^+|^2 - 1 &\leq C \int_{B_4(p)} |D\beta^+ - D\beta^+| \\ &\leq C \text{Vol}(B_4(p))^{1/2} \left(\int_{B_4(p)} |D\beta^+ - D\beta^+|^2 \right)^{1/2} \\ &\leq \Psi. \end{aligned}$$

Thus the step 4 is proved

Step 5

We have

$$\int_{B_1(p)} |D^2\beta^+|^2 \leq \Psi$$

(iv)

Take a good cut-off function such that

$$\begin{cases} \phi \equiv 1 & \text{on } B_1(p) \\ \phi \equiv 0 & \text{on } M \setminus B_4(p) \\ 0 \leq \phi \leq 1 & \text{on } M \\ |D\phi| + |\Delta\phi| \leq C(n) & \text{on } M \end{cases}$$

Here we are separating $S \subset$

Since $\text{Ric} \geq -(n-1)\delta$, by also exploiting Bochner, we have (remember $\Delta\beta^+ \equiv 0$!)

$$\begin{aligned} \frac{1}{2} \Delta |D\beta^+|^2 + (n-1)\delta |D\beta^+|^2 &= |D^2\beta^+|^2 + \text{Ric}(D\beta^+, D\beta^+) + (n-1)\delta |D\beta^+|^2 \\ &\geq |D^2\beta^+|^2 \end{aligned}$$

Hence testing against ϕ we obtain

$$\int_{B_1(p)} \phi |D^2\beta^+|^2 \leq \int_{B_4(p)} \frac{1}{2} \phi \Delta (|D\beta^+|^2 - 1) + (n-1)\delta \int_{B_4(p)} |D\beta^+|^2$$

$$= \int_{B_u(p)} \frac{1}{2} \Delta \varphi \cdot (|\mathbb{D}\beta^+|^2 - 1) + (n-1)\varepsilon \int_{B_u(p)} |\mathbb{D}\beta^+|^2$$

$$\leq C \left[\int_{B_u(p)} (|\mathbb{D}\beta^+|^2 - 1) + (n-1)\varepsilon \right]$$

where in the last inequality we exploited the fact that $|\mathbb{D}\beta^+|$ is uniformly bounded above by a constant in $B_u(p)$ (see step 3) and $|\Delta \varphi| \leq C$.

Hence, by exploiting the previous inequality, we have that

$$\int_{B_u(p)} |\mathbb{D}^2 \beta^+|^2 \leq \int_{B_u(p)} \varphi |\mathbb{D}^2 \beta^+|^2 \leq \varphi$$

and thus the proof of the step is concluded.

CONCLUSION (I) + (II) + (III) + (IV) imply

that β^+ is $(\underline{1}, \varphi)$ -splitting on

$B_1(p)$.

Hence we use "from splitting maps to splitting spaces" that we already proved and the proof of the almost splitting theorem is finished! \square

ALMOST VOLUME IMPLIES ALMOST METRIC CONE

Thm. [Cheeger-Colding '96] $\forall \varepsilon, \nu > 0 \quad \forall n \in \mathbb{N} \quad \exists \delta$
s.t. the following holds.

$\#$ (M^n, g) has $\text{Ric} \geq -(n-1)\delta$, (40)

and $\text{Vol}(B_1(p)) \geq \nu$ (41), and

$$\frac{\text{Vol}(B_\delta(p))}{v(n, \delta, \delta)} - \frac{\text{Vol}(B_{16}(p))}{v(n, \delta, 16)} < \delta \quad (42)$$

Hence

$$d_{\text{GH}}(B_1(p), p), (B_1(z^*), z^*)) \leq \varepsilon$$

where z^* is the tip of a metric cone $C(\mathbb{Z})$.

Proof. follows the lines in [online notes of UCSD] Leuninger
with minor modifications

WHAT IS A METRIC CONE?

For references, see [BBI, Chapter 3]

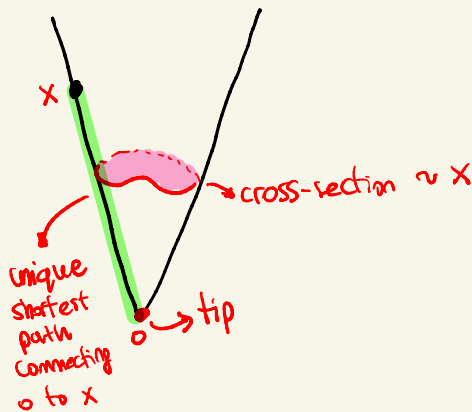
Def. Let (X, d) be a metric space.

Let $C(X) := [0, +\infty) \times X / \{0\} \times X$.

Given $a = (t, x)$, $b = (s, y)$ in $C(X)$, let us define

$$d_c(a, b) = \begin{cases} \sqrt{t^2 + s^2 - 2ts \cos(d(x, y))} & \text{if } d(x, y) \leq \pi \\ t + s & \text{if } d(x, y) > \pi \end{cases}$$

Hence $(C(X), d_c)$ is a metric space called **metric cone over X** .



... and the measure? See [Ketterer (4)]

$$\text{let } \text{sh}_k(t) := \begin{cases} \frac{1}{\sqrt{k}} \sin(\sqrt{k}t) & k > 0 \\ t & k = 0 \\ \frac{1}{\sqrt{-k}} \sinh(\sqrt{-k}t) & k < 0 \end{cases}$$

$$\text{cos}_k(t) := \begin{cases} \cos(\sqrt{k}t) & k > 0 \\ 1 & k = 0 \\ \cosh(\sqrt{-k}t) & k < 0 \end{cases}$$

$$C_k(X) := \begin{cases} [0, \pi/\sqrt{k}] \times X / \{0, \pi/\sqrt{k}\} \times X & k > 0 \\ [0, +\infty) \times X / \{0\} \times X & k \leq 0 \end{cases}$$

$$d_{C_k}((t, x), (s, y)) := \begin{cases} \cos_k^{-1}(\cos_k(s)\cos_k(t) + k \text{sh}_k(s)\text{sh}_k(t) \cos(d(x, y)_{\text{int}})) & k \neq 0 \\ \sqrt{s^2 + t^2 - 2ts \cos(d(x, y)_{\text{int}})} & k = 0 \end{cases}$$

$$m_{C_k}^n := \text{sh}_k^n(t) dt \otimes m$$

Def. (X, d, m) m.m.s., hence $(C_k(X), d_{C_k}, m_{C_k}^n)$ is the (k, n) -m.m. come over X . [it's the warped product $[0, \pi/k] \times_{\text{sh}_k} X$]

Thm [Ketterer 14]

- (i) Let (X, d, m) be on $\text{RCD}(N-1, N)$ space with $N \geq 1$ and $\text{diam} X \leq \pi$. Let $k \geq 0$. Hence $(C_k(X), d_{C_k}, m_k^N)$ is an $\text{RCD}(kN, N+1)$ space.
- (ii) Let (X, d, m) be a P.I. m.m.s. Let $k \in \mathbb{R}$ and $N \geq 0$. Suppose $(C_k(X), d_{C_k}, m_k^N)$ is on $\text{RCD}(kN, N+1)$ space. Hence
- if $N \geq 1$, (X, d, m) is on $\text{RCD}(N-1, N)$ space and $\text{diam} X \leq \pi$
 - if $N \in \{0, 1\}$, X is a point or $N=0$ and X is exactly two points at distance π

For the version for sectional curvature bounds see [BBI, Theorem 6.7.1]